



OFFICE OF THE SECRETARY
NATIONAL ECONOMIC AND DEVELOPMENT AUTHORITY

Address: 12 St. Josemaria Escrivá Drive, Ortigas Center, Pasig City 1605 Philippines
Tel: (+632) 631 0945 to 56 | E-mail: info@neda.gov.ph | Website: www.neda.gov.ph

RISK REGISTER

FUNCTIONAL QUALITY OBJECTIVE RISK ASSESSMENT

Responsible Unit: Monitoring and Evaluation Staff

Date Prepared: 10 October 2017

Rev. 0

Code:

RRO-ICC-ES-01-01

QUALITY OBJECTIVE	CONTEXT (functional / process)		RISK DEFINITION - Potential Problem, Failure or Uncertainty	POTENTIAL EFFECT(S) / CONSEQUENCES	TYPE*	CONTROL MEASURES	RISK ASSESSMENT				Risk Control / Opportunity Management Plan (RCP / OMP)	RISK RE-ASSESSMENT				Risk Control / Opportunity Management Plan (RCP / OMP)	
	Positive Issues	Negative Issues					Impact	Likelihood	Rating	Evaluation		Impact	Likelihood	Rating	Evaluation		
100% accuracy of all PERs prepared			Insufficient information in the submitted documents		R	Coordination with proponent/ implementing agency to validate/gather sufficient information.	2	4	8	Yes	RCP-ICC-ES-01						
90% of PERs prepared within the prescribed processing time (30 working days)			Incomplete project documents	Delayed commencement of appraisal/re-evaluation. Timeliness and quality of the report may be affected.	R	Provide assistance to proponent/ implementing agencies on ICC procedures.	4	1	4	No	n/a						
90% of submitted projects appraised	Competent technical staff of the Evaluating Staff.	Lack of succession plan.	ES is asked to submit a preliminary report, which, in some cases, becomes the basis of ICC approval, even without in-depth evaluation and/or ICC Secretariat's recommendation.	Lack of in-depth evaluation may affect the implementation of the project, and subsequently, the integrity of the ICC approval process.	R	None. (ICC decision is outside the control of the ES)	4	3	12	Yes	N/A (ICC decision is outside the control of the ES)						

*Type of Effect - R - Risk (Negative Effect); o - Opportunity (Positive Effect)

Prepared by: **Paul Andrew M. Tatlonghari**
OIC Division Chief

Approved by: **Violeta S. Corpus**
OIC Director